

OMB APPROVAL	
OMB Number:	3235-0287
Estimated average burden hours per response:	0.5

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person* <u>MAYER MARC O</u>  (Last) (First) (Middle) <u>C/O MANNING &amp; NAPIER, INC.</u> <u>290 WOODCLIFF DRIVE</u>  (Street) <u>FAIRPORT NY 14450</u>  (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>Manning &amp; Napier, Inc. [ MN ]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <p style="text-align: center;"><b>Chairman and CEO</b></p>
	3. Date of Earliest Transaction (Month/Day/Year) <u>03/15/2021</u>	
	4. If Amendment, Date of Original Filed (Month/Day/Year)	

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock, par value \$0.01	03/15/2021		M		171,668	A	\$2.01	1,289,389 <sup>(1)</sup>	D	
Class A Common Stock, par value \$0.01	03/15/2021		M		128,332	A	\$2.01	1,417,721 <sup>(1)</sup>	D	
Class A Common Stock, par value \$0.01	03/15/2021		F		193,905	D	\$7.82	1,223,816 <sup>(1)</sup>	D	

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date						Title
Employee Stock Option (right to buy)	\$2.01	03/15/2021		M		171,668		02/10/2021	02/10/2025	Class A Common Stock, par value \$0.01	171,668	\$0.00	983,332 <sup>(2)</sup>	D	
Employee Stock Option (right to buy)	\$2.01	03/15/2021		M		128,332		01/01/2021	01/01/2025	Common Stock, par value \$0.01	128,332	\$0.00	166,668 <sup>(3)</sup>	D	

**Explanation of Responses:**

- Includes 163,399 restricted stock units that will convert to common stock on a one-for-one basis. The restricted stock units are subject to time vesting, with one-fourth of such restricted stock units vesting on each of February 1, 2023, February 1, 2024, February 1, 2025 and February 1, 2026.
- 117,332 options became exercisable on February 10, 2021. 289,000 options became exercisable on February 26, 2021. 289,000 options became exercisable on March 9, 2021. 288,000 options will become exercisable, if at all, if the average closing price per share of Class A Common Stock of the Company equals or exceeds \$7.75 per share for 20 consecutive trading days on or before December 31, 2025.
- The 166,668 remaining options will vest on January 1, 2022.

**Remarks:**

/s/ Sarah C. Turner, attorney-in-fact 03/17/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.